Dr. Daniel J. O'Connell, Ph.D University of Delaware Center for Drug and Alcohol Studies 257 E. Main Street, Suite 110 Newark DE 19716 Work: (302) 831-4649 Email: <u>oconnell@udel.edu</u>

EDUCATION

Ph.D. Criminology, University of Delaware, 2004.

M.A. Criminology, University of Delaware, 2000.

B.A. Administration of Justice, Penn State University, 1997.

AREAS OF INTEREST

Criminological theory, with a focus on desistance from criminal and addiction careers and the relationship of theory to correctional practice. Racial and gender implications of desistence theory; Prisoner reentry, drug treatment and corrections; adolescent drug use; research methodologies with an emphasis of field experiments, statistical applications in criminal justice; video based methods of intervention technology transfer.

EMPLOYMENT HISTORY

December, 2002 through present. Scientist; Center for Drug and Alcohol Studies, University of Delaware. Assistant Professor, Department of Sociology and Criminal Justice, University of Delaware. Conceptualization, implementation, management, analysis and dissemination of findings for research projects involving drug involved criminal offenders, treatment programs in prison, and surveys in the Delaware schools. Project Director, CJ-DATS and Merit Studies; CO-PI Roads Diverge Study; PI Decide Your Time Study.

May 1999 –November, 2002, Graduate Research Assistant. Center for Drug and Alcohol Studies, University of Delaware.

Assist in the implementation and analysis of research involving drug involved criminal offenders, treatment programs in prison, and surveys in the Delaware schools.

August 1998- May 1999, Graduate Research Assistant. Department of Sociology, University of Delaware.

Developed and carried out data collection and analysis of criminal and civil case processing in the United States Federal Courts.

COURSES TAUGHT

The Drug War in America Introduction to Criminal Justice Criminology; Prisoner Reentry Introduction to Sociology

PUBLICATIONS BOOKS

O'Connell, D. J. (2006). <u>Prisoner Reentry and the Life Course</u>. LFB Scholarly Publishing, New York.

JOURNAL ARTICLES

- O'Connell, D.J., Brent, J.J.; Visher, C.V. (2016). Decide Your Time: A Randomized Trial of a Drug Testing and Graduated Sanctions Program for Probationers. <u>Criminology and Public Policy</u>. 15:4, 1073-1102
- Paternoster, R.; Bachman, R.; Kerrison, E.; O'Connell, D.J.; Smith, L. (2016). Desistance from Crime and Identity: An Empirical Test With Survival Time. <u>Criminal Justice and</u> <u>Behavior</u>. 43:9, 2204-1224.
- Bachman, R.; Kerrison, E.; Paternoster, R.; O'Connell, D.J.; Smith, L. (2016). Desistance for a Long-Term Drug-Involved Sample of Adult Offenders: The Importance of Identity Transformation. <u>Criminal Justice and Behavior</u>. 43:2 164-186
- Bachman, R.; Kerrison, E.; Paternoster, R.; Smith, L.; O'Connell, D.J. (2016). The Complex Relationship Between Motherhood and Desistance. <u>Women & Criminal Justice</u>. 26: 3, 2016
- Swan, H.; O'Connell, D. J.; Visher, C.A.; Martin, S.S.; Swanson, K.R.; Hernandez, K. (2015) "Improvements in Correctional HIV Services: A Case Study in Delaware". <u>Journal of</u> <u>Correctional Health Care.</u> 21(2):164-176.
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- Visher, C.A. & O'Connell, D.J. (2012). Incarceration and inmates' self-perceptions about returning home. Journal of Criminal Justice: 40: 386–393 (2012).

- Swan H. and O'Connell, D. J. (2012). The Impact of Intimate Partner Violence on Women's Condom Negotiation Efficacy. *Journal of Interpersonal Violence*. 27: 775-792 (2012).
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 M.S.; Hall, E. ;Frisman, L.K.; for the MAT Working Group of CJ-DATS (2012).
 Medication-Assisted Treatment in Criminal Justice Agencies Affiliated with the Criminal Justice-Drug Abuse Treatment Studies (CJ-DATS): Availability, Barriers, and Intentions.
 Substance Abuse, 33: 9-18 (2012).
- Gunter, W. D.; Farley, E.J.; O'Connell, D. J. (2013) Self-Treatment Vs. Recreational Motives" Differentiating Nonmedical use of Prescription Drugs Among Youth. International Journal of Child, Youth & Family Studies; 4(2), p245.
- Gunter, W.; Kurtz, S.; Bakken, N and O'Connell, D.J. (2012). Desisting from Prescription Drug Abuse: An Application of Growth Models to Rx Opioid Users. <u>Journal of Drug Issues</u> 42:82-97
- Oser, C. B.; Harp, K.L.; O'Connell,D. J.; Martin, S.S.; Leukefeld, C.G. (2012). Correlates of participation in peer recovery support groups as well as voluntary and mandated substance abuse treatment among rural and urban probationers Journal of Substance Abuse Treatment. 42: 95-101.
- Button, D., O'Connell, D.J., Gealt, Roberta M. (2012). Sexual Minority Youth Victimization and Social Support: the Intersection of Sexuality, Gender, Race and Violence. <u>Journal of</u> <u>Homosexuality</u>. 59: 18-43
- O'Connell, D.J; Visher, C. A.; Martin S. S; Parker L; Brent, J. (2011). Decide your time: Testing deterrence theory's certainty and celerity effects on substance-using probationers. Journal <u>of Criminal Justice:</u> 39 (3): 261-267.
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- Christy Visher, Nicole Smolter, and Daniel O'Connell, "Workforce Development Program: A Pilot Study of its Impact in the U.S. Probation Office, District of Delaware". Federal Probation, Vol. 74, No. 3, pp. 16-21, 2010.
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EC; Litt, MD ;Martin, SS. (2009). The Step'n Out Study of Collaborative Behavioral Managment for Drug-Involved Parolees. <u>Substance Abuse</u>. Vol 30: 290-318.

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- Martin, S.S., O'Connell D. J., Inciardi, J. A., Hilary, S. L. (2009). Integrating an HIV/HCV Brief Intervention in Prisoner Reentry: First Results of a Multi-Site Prospective Study. Journal of Psychoactive Drugs (2008) Vol 40: 427-436.December 2008.
- O'Connell, D.J., T. N. Enev, S. S. Martin and J.A. Inciardi (2007). Working Toward Recovery: The Interplay of Past Treatment and Economic Status in Long Term Outcomes for Drug Involved Offenders. <u>Substance Use and Misuse</u>. 42 (7): 1089-1108.
- O'Connell, D. J. (2007). Please Check the Box if You Have Ever Been Convicted of a Felony. <u>Substance Use and Misuse.</u> 42 (7): 1209-1210.
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among an offender sample." Journal of Criminal Justice: 31, 455-67.

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- Dietz, E. F., D. J. O'Connell, and F. R. Scarpitti. (2003) "Therapeutic communities and prison management: an examination of the effects of operating an in-prison therapeutic community on levels of institutional disorder." <u>International Journal of Offender Therapy and Comparative Criminology</u>: 74, 210-22

BOOK CHAPTERS, REPORTS AND ENCYCLOPEDIA ENTRIES

- O'Connell, D.J., Swan, H., Martin, S.S., Surratt, H.L., and Visher, C.A. (2012). An HIV Continuum of Care Model for Corrections. In *Crime, HIV and Health: Intersections of Criminal Justice and Public Health Concerns*. William Sanders & Bethany Deeds, Editors. Springer Press (2012)
- O'Connell, D.J. (2011). Prison Drug Use and Treatment, in <u>Substance Abuse, Addiction and</u> <u>Treatment.</u> Kuhar, M.J. and Little, H. (Eds). Marshall Cavendish Corp, Tarrytown, NY.
- O'Connell, D.J. and O'Connell (2011). Drug Use and Social Services, in <u>Substance Abuse</u>, <u>Addiction and Treatment.</u> Kuhar, M.J. and Little, H. (Eds). Marshall Cavendish Corp, Tarrytown, NY.
- Farley, E. J. and O'Connell, D.J. (2011). Pharmacy Drugs, in <u>Drugs of Abuse.</u> Kuhar, M.J. and Little, H. (Eds). Marshall Cavendish Corp, Tarrytown, NY.
- Farley, E. J. and O'Connell, D.J. (2011). Prescription Drugs, in <u>Drugs of Abuse.</u> Kuhar, M.J. and Little, H. (Eds). MarshallCavendish Corp, Tarrytown, NY.

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Martin, S.S. R.G. Gealt, & D.J. O'Connell, Alcohol, Tobacco, and Other Drug Use Among Delaware Students 2008. Newark, DE: Center for Drug and Alcohol Studies, May, 2009.

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- O'Connell, Daniel J. (2005) "Drug Use in Prisons," <u>Encyclopedia of Drugs and Addiction</u> London, England: Marshall Cavendish Corporation.
- O'Connell, Daniel J. (2005) "Temperance Movements," <u>Encyclopedia of Drugs and Addiction</u> London, England: Marshall Cavendish Corporation.
- O'Connell, Daniel J. (2005) "Prison Drug Treatment, "<u>Encyclopedia of Drugs and Addiction</u>" London, England: Marshall Cavendish Corporation.
- O'Connell, Daniel J. and T. C. O'Connell. (2005) "Social Services and Substance Abuse," <u>Encyclopedia of Drugs and Addiction</u>, London, England: Marshall Cavendish Corporation,(2005).
- O'Connell D. J. and R. A. Beard. (2005) "Drugs and Crime." <u>Encyclopedia of Drugs and</u> <u>Addiction.</u> London, England: Marshall Cavendish Corporation.
- Beard, R. A. and D. J. O'Connell (2005) "Continuum of Care: Drug and Alcohol Continuous Treatment Systems." <u>Encyclopedia of Drugs and Addiction</u>. London, England: Marshall Cavendish Corporation.
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- Inciardi, James A., D. J. O'Connell and C. A. Saum. (2004) "The Miami Sex for Crack Market Revisited," in Dean Dabney(ed.) <u>Criminal Behaviors</u> Belmont, CA: Wadsworth.

BOOK REVIEWS

O'Connell, D. J. (2000) "Cocaine: From Medical Marvel to Modern Menace in the United States, 1884-1920." <u>Criminal Justice Review:</u> 25, 268-9

Edited Volumes

The Journal of Psychoactive Drugs. Special issue on HIV and Other Infectious Diseases Among Drug-Involved Offenders. Guest Editor. Volume 40, Number 4, December 2008

PROFESSIONAL AFFILIATIONS

American Society of Criminology Academy of Criminal Justice Sciences American Sociological Association

Research Support

Current

Implementing a Culture of Health among Delaware's Probation Population Daniel J. O'Connell, PhD. Robert Wood Johnson Foundation. 7/1/2016 through 6/30/2018.

This study investigates the process and impact of the implementation of a multi--agency "Culture of Health" team in the Delaware Department of Probation, which aims to address the health, substance abuse, mental illness, education, transportation, employment, and housing issues faced by those individuals under probationary supervision in Delaware. Using a pragmatic randomized controlled trial, this study will test the efficacy of using the team approach to leverage different financing systems and service coordination by providing education, screening, testing and referral with follow-up services.

Decision Making Among Adult Offenders and Non-Offenders: Elaborating the Rational Choice Model of Criminal Offending. National Institute of Justice (NIJ-2013-3454). 10-1-2013 through 9-30-2016. (O'Connell and Ray Paternoster, University of MD, Co-PIs)

To expand our understanding of the rational choice model of offender decision making this project will extensively study the decision making processes of a sample of incarcerated offenders and a comparison sample of like-aged non-offenders from the community. We will select 500 imprisoned offenders (300 males and 200 females) who are currently residing in a pre-release center in Delaware. We will recruit a comparison non-offender sample of 250 non-offenders (150 males and 100 females) from the general community (also in Delaware). All subjects will complete a survey that asks theme questions about their decision making style. We will measure subjects on several characteristics that have been shown in past research in psychology and economics to be related to decision making, such as risk seeking, risk avoidance and perceptions of both formal and informal sanctions. Respondents will also respond to one hypothetical scenario involving aggression.

In addition, we will select a subsample of 120 of the offenders (80 males and 40 females) and interview them in the community approximately 9 months after their release from prison.

Further, we will collect one year follow-up information from official sources from all those in the offender sample (N=500).

We will use various regression and other analytic techniques to understand differences across offenders and non-offenders, as well as among different types of offenders, regarding their decision making processes. Accordingly, this study will provide important insight for both theory and policy

Evaluation of Delaware's I-ADAPT Program. Delaware Criminal Justice Council. 8-31-2011 through 7-31-2014. (O'Connell, DJ. PI).

In order to address reentry issues in Delaware, The Governor instituted what has become known as I-ADAPT. I-ADAPT participants are assessed by correctional staff prior to release from custody and referred to the divisions of Housing, Education, labor, and DSAMH based on need. The Governor suggested a target of a 50% reduction in recidivism for program participants. CDAS received an evaluation grant to assess both process and outcomes for the I-ADAPT program.

The overall evaluation approach relies on collaboration between the CDAS evaluation team, the Delaware Statistical Analysis Center, and the I-ADAPT team. The main outcome is recidivism, which for this initiative is defined as "a return to prison and/or jail with either a new conviction or as a result of a violation of the terms of supervision within 12 months of initial release."

The evaluation is utilizing publicly available criminal justice records to determine recidivism rates for the full I-ADAPT Cohort. Data are being collected in conjunction with I-ADAPT and provided to the Center for Drug and Alcohol Studies in a de-identified format. Once the data is collected, the CDAS team is aggregating it into an analyzable dataset and conducting analyses. There are five "stages" to the evaluation (five points at which data will be collected) 1) Assessment,2) Pre-release services, 3) Post release services, 4) Primary outcomes and, 5) Distal Outcomes (see Figure One below). The I-ADAPT transition document provides demographics, certain types of need, and criminal justice background of program clients.

Role: PI.

Evaluation of Delaware's Reentry Court. Delaware Criminal Justice Council. 4-1-2014 through 8-31-2014. (O'Connell, DJ. PI).

The purpose of this project is to evaluate the extent to which the Delaware Reentry Court's mandated participation in I-ADAPT improves access to needed services, whether that mandated access improves recidivism outcomes. The project builds upon and extends the continuing overall evaluation of I-ADAPT being conducted by the research team at The Center for Drug and Alcohol Studies (CDAS) at the University of Delaware. The initial evaluation began to track 300 reentering people through the I-ADAPT process to understand how it functions and where adjustments could be made. Data was collected in conjunction with I-ADAPT and provided to Dr. Daniel O'Connell at CDAS. This data was merged with Criminal Justice data from The

Delaware Statistical Analysis Center and analyzed. Results indicated that I-ADAPT participants fared no better than reentering persons no in I-ADAPT. One of the concerns in I-ADAPT is that, although participants are provided with information on services, they are not required to take advantage of them. Reentry Court augments the process such that participants are required to present for needed services. This process allows for a test of whether I-ADAPT services, if mandated, improve outcomes. Analysis of the ongoing I-ADAPT data in conjunction with SAC is ongoing and the data collected from this project will be merged with the original I-ADAPT data, allowing for a comparison study of Reentry Court versus traditional I-ADAPT. This project will also interview 5 CJ practitioners involved in the Reentry Court, as well as a sample of 20 participants in order to more fully understand the process. This will be delivered to CJC at the end of the project period.

Role: PI

Completed

National Institute of Justice Funding Opportunity: NIJ–2009–1934 SL# 000844 (O'Connell, PI) Evaluating a Drug Testing and Graduated Sanctions Program in Delaware: A Randomized Trial

10/1/2009 through 9/30/2013.

Objective and Goals: The objectives of this two year project are to implement and study in a randomized trial the "Decide Your Time" program to monitor chronic drug offenders through increased, regularly scheduled and known urinalysis testing, coupled with increasing sanctions and referral to treatment for positive tests. Offenders will become eligible for the program when they test positive for drugs at their initial probation intake at the Hare's Corner Probation Office in New Castle, Delaware; an urban/suburban location that serves a diverse population of African American, Hispanic and Caucasian, male and female probationers. Due to budgetary cutbacks, the office lacks sufficient resources to place all eligible offenders into the program due to budgetary cutbacks. This situation allows for a real world test of the program because the Department of Probation and Parole has agreed to randomly assign eligible offenders to either standard probation (n=400) or the enhanced condition (n=400). Those in the standard condition will receive the normal probationary requirements, including random urinalysis. Those in the enhanced manualized condition will receive regularly scheduled urinalysis coupled with referral to treatment, if required, and a program of graduated sanctions.

Role: Principle Investigator.

NIJ Application 2008 91423 DE-IJ (Bachman, O'Connell CO-PIs) 11/1/2008 through 6/30/2012 Roads Diverge: Long-Term Patterns of Relapse, Recidivism And Desistance For A Re-Entry Cohort

This project will take advantage of existing data from a large longitudinal treatment evaluation

study of drug-involved offenders to identify long-term patterns or "trajectories" of recidivism, desistance, and relapse in a large, mixed race and gender sample first identified in the 1990s. Using official data, Phase One will plot trajectories of criminal involvement over 10-15 year period after release from prison to describe patterns of criminal and drug involvement as a function of demographic characteristics, previous participation in a drug treatment program, and ensuing life events. Phase Two will utilize these trajectories to identify different offending patterns, e.g. those who desist entirely from offending and drug use, those who actively engage in offending and drug use, those who have an episodic pattern of desistance, and potentially others. These different groups will be used as populations and the study will sample respondents from each group to participate in in-depth interviews, including an over-sample of women in each group. A total of 300 in-depth semi-structured interviews will be conducted, which will include updated life event calendar data since last interview. To illuminate the causal mechanism by which these individual and life circumstance factors affect offending and relapse, offenders will be asked to re-create these events including the precipitating circumstances, the actors involved and their life circumstances at the time. This multi-method design will more fully allow us to assess existing theories and new hypotheses on the putative causes of identified criminal offending patterns. Importantly, these theoretical tests can be used to more validly inform policies aimed at prevention in our nation's current economic climate.

Role: Co-PI. Involves working with the Delaware Statistical Analysis Center to construct criminal histories in Phase one, assisting in the initial data analysis, conducting depth interviews in phase two, and overseeing overall field operations.

U01-DA-09-006 (Visher, PI). (4/1/2009 through 3/31/2014)

Criminal Justice Drug Abuse Treatment Studies 2 (CJ-DATS 2)

The University of Delaware's CJ-DATS 2 research initiative will expand on the established infrastructure and substantive accomplishments of CJ-DATS 1 to advance the process of moving research to practice using a systems change approach. CJ-DATS 2 brings a larger and more diverse research team to the process, and includes the active collaboration of three state Correctional systems (DE, NJ, and OH). The project will make use of evidence-based assessment instruments developed in CJ-DATS 1 and other sources to create, disseminate and study the implementation issues of a screening, brief intervention, and referral to treatment (SBIRT) tool and procedures to be used with offenders in the reentry process. Second, the project will disseminate the evidence-based NIDA Standard HIV Intervention using the enhanced "routes of administration" developed in CJ-DATS 1 with the targeted DVD medium. The project will disseminate the intervention to all the CJ-DATS 2 correctional sites with an experimental implementation enhancement focused on integrating the intervention into an overall HIV continuum of services, including more effective early assessment in prison, referral to community services, and coordination with criminal justice needs. Each concept builds on previous evidence-based research in CJ-DATS and other NIDA studies; each will involve the active participation of corrections leaders, health and social service administrators, and treatment practitioners in designing the study and the evaluation; each recognizes that systems issues in implementation need to be the foci of efforts to move research into community corrections practice; and each has major significance for ameliorating relapse, recidivism, chronic health problems, and other outcomes among criminal justice system clients. The University of Delaware team of researchers and collaborating practitioners will play a key leadership role in all phases of this cooperative agreement.

Role: Co-investigator and Project Director.

R37 DA06124-070 (Inciardi, PI) August 1, 1999 to July 31, 2006

National Institute on Drug Abuse: Ongoing Studies of Treatment for High Risk Drug Users -Merit Award The major goals of this project were to combine, continue, and expand upon two NIDA Treatment Research Demonstration projects: R01 DA06124 "Assertive Community Treatment for High Risk Drug Users," and R01 DA06948 "A Therapeutic Community Work Release Center for Inmates." This included the long-term study of the implementation and evaluation of innovative treatment for drug-involved prison releasees.

<u>Role:</u> Project Director, including oversight of data collection process, supervision of staff, data analysis and report writing.

R01DA16230-01 (Inciardi, PI) September 30, 2002 to August 31, 2008 National Institute on Drug Abuse: CJ-DATS

This project was for one of ten national research centers funded by NIDA and a consortium of other federal agencies. The purpose was to set the agenda for assessing new and existing criminal justice treatment services and to support collaborative studies with large research samples nationally.

<u>Role:</u> Project Director: responsible for coordinating implementation of multiple studies across research sites. Among the many duties was an instrumental role in the development of a DVD based interactive HIV/Hepatitis intervention for reentering offenders.

Round 2 of CJ-DATS will be reviewed by NIDA in November. I am a Co-investigator under PIs Visher and Inciardi on this application.

State Contracts

State of Delaware/CSAP/CDC Martin (PI) December 1, 1994 to June 30, 2008 "Delaware School Surveys, Delaware YRBS, Delaware YTS"

The major goals of these projects are to conduct and report on student substance abuse and other risk and protective factors pursuant to long term social indicator assessment of Delaware youth. These are accomplished with State contracts and federal flow through funds and now Tobacco Settlement funds. Surveys are the yearly Delaware School Survey of 5th, 8th, and 11th grade students, the semi-annual Youth Risk Behavior Survey (in odd years) and the semi-annual Youth Tobacco Survey of 6-12 graders (in even years).

Role: Coordinate these ongoing state assessment efforts.

Foundation Contract Martin (PI) November 1, 2006 to December 31, 2007 Nemours Foundation "Delaware Middle School Youth Risk Behavior Survey"

The major goals of this project are to conduct the first middle school youth risk behavior study in

Delaware collecting data comparable to the CDC YRBS study but adding a major component on youth mental health. Role: Coordinate the data collection and reporting efforts.

State Contract Martin (PI) State of Delaware/CSAP "Delaware State Epidemiological Outcome Workgroup" April 15, 2006 to March 15, 2009

The major goal of this project is to establish and maintain an epidemiological workgroup for the state that will collect, compile, and communicate substance abuse surveillance data from various sources in the state of Delaware.

Role: Coordinate data collection and maintenance efforts and serve as consulting epidemiologist.

R21 DA15017-01A1 (Surratt, PI) September 27, 2002 to June 30th, 2007 HIV/AIDS Prevention in St Croix

This project was designed to collect both epidemiologic data on HIV risk behaviors, and qualitative data on the context of HIV risk, in order to develop culturally appropriate prevention/ interventions for drug and alcohol involved women and men in St. Croix , United States Virgin Islands . Research on substance abuse in the U.S. Virgin Islands is extremely limited, and there are no systematic, manual-driven HIV/AIDS prevention protocols specifically developed for the cultural context of the Virgin Islands.

<u>Role:</u> Worked with the PI to develop the video portions of the St Croix Intervention, filming and editing the testimonials, and producing the DVDs.